



IRA Transfer/Direct Rollover of Assets Form



Use this form if 1) you are transferring IRA assets from another IRA custodian into an AARP Funds IRA or 2) you are directly rolling over retirement plan assets into an AARP Funds IRA. An AARP IRA Application and Adoption Agreement are required to establish a new AARP Funds IRA.

Mail to: AARP Funds, P.O. Box 8035, Boston, MA 02266-8035

Overnight address: AARP Funds c/o BFDS, 30 Dan Road, Canton, MA 02021

Phone: 1-800-958-6457

1. Investor Information

(Please print, preferably in capital letters and black ink.)

Full Name (first, middle, last)

Social Security number /Tax Identification number

Daytime telephone number Alternate telephone number Email address

Address

City State Zip

2. Transfer Assets From Current IRA Custodian or Retirement Plan Account(s)

Name of Current Custodian/Trustee or Company/Plan Administrator for direct rollovers Attn: Mr/Ms.

Street address

City State Zip

Daytime telephone number

Fund Name/Fund Number (if applicable)* Account Number at Current Custodian

*To list additional accounts to be transferred, please attach a letter of instruction.

3. Instructions to Current IRA Custodian or Retirement Plan Administrator – AARP Funds will forward this information on your behalf

You must complete sections A, B, & C below

Current IRA Custodian/Plan Administrator, please transfer/rollover assets from the above account(s) in cash according to the following instructions:

A. Liquidate (Check one box below and fill in any necessary information):

- The Total Amount in My Current Account
- _____% and Retain the Balance
- \$_____ and Retain the Balance

B. Transfer/Direct Rollover (Check one box below):

- Transfer from a Traditional IRA or SEP IRA
- Transfer from a Roth IRA
- Direct rollover from a qualified retirement plan

C. Make Check Payable to: AARP Funds **Mail to:** AARP Funds, P.O. Box 8035, Boston, MA 02266-8035

FBO _____(client name)

Important information for the Investor from The AARP Funds

1. Contact your current custodian or plan administrator to:

- confirm that this form along with AARP's Letter of Acceptance is sufficient.
- determine if a signature guarantee is required on this form.
- verify that you have completed all necessary paperwork to ensure the timely transfer of assets.
- satisfy this year's Required Minimum Distribution (RMD) before the transfer is made if you are 70½ or older.

2. Attach a copy of your most recent account statement from your current custodian or plan administrator to this form.

4. Transfer Assets To this Account (Check one box)

- Traditional IRA*
- Roth IRA**
- Simplified Employee Pension (SEP) IRA

* You may transfer to a Traditional IRA from a SIMPLE IRA but not until at least 2 years after the first contribution to the SIMPLE IRA account. A qualified retirement plan can only be moved to a Traditional IRA, and through a direct rollover. You may NOT transfer to a Traditional IRA from a Roth IRA.

**Transfers to a Roth IRA are possible from another Roth IRA, or, if the Roth IRA owner meets eligibility requirements, they may convert from a Traditional IRA, from a SEP IRA, or from a SIMPLE IRA (but not until at least 2 years after the first contribution to a SIMPLE IRA account). A transfer from a non-Roth IRA will trigger federal income tax on the taxable amount transferred.

5. Investment Instructions to the AARP Funds

New IRA Account: Invest the transferred amount in accordance with the investment instructions on the attached IRA Application & Adoption Agreement (skip ahead to section 6).

Existing IRA Account: Invest the transferred amount as indicated below: (Fill in necessary information. If you are adding any new AARP Funds to your existing IRA, please specify the allocation amount below).

Fund name	Dollar Amount	Must total 100%	Account Number
AARP Aggressive Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	or <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> %	<input type="text"/>
AARP Moderate Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	or <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> %	<input type="text"/>
AARP Conservative Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	or <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> %	<input type="text"/>
AARP Money Market Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	or <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> %	<input type="text"/>
AARP Income Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	or <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> %	<input type="text"/>
Total investment amount	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	1 0 0 . 0 0 %	

6. Signature of Investor (Required)

I acknowledge that I have sole responsibility for my investment choices and that I have received a current prospectus and IRA Disclosure Statement and Custodial Agreement which I have been advised to read carefully before investing.

I understand that the requirements for a valid transfer to a Traditional IRA, or Roth IRA are complex and that I have the responsibility for complying with all requirements and for the tax results of any such transfer.

I certify to the current IRA custodian or trustee that I have established (or will establish) a successor Individual Retirement Custodial Account meeting the requirements of Internal Revenue Code Section 408(a), 408(p) or 408A (as the case may be) to which assets will be transferred, and certify to The AARP Funds' IRA Custodian that the IRA from which assets are being transferred meets the requirements of Internal Revenue Code Section 408(a), 408(p) or 408A as the case may be.

X

Signature of Investor

Date

Signature Guarantee Check with your institution to see if they require a signature guarantee.

Affix Guarantee Here*

**Usually the signature(s) must be guaranteed by an eligible bank, broker, dealer, credit union, national securities exchange registered securities association, clearing agency, or savings association. Notarization by a Notary Public is not acceptable in lieu of a signature guarantee provided by one of the eligible guarantor institutions listed above. Check with your institution to confirm their specific requirements.*

7. Acceptance by New Custodian (Completed by State Street Bank and Trust Company)

State Street Bank and Trust Company agrees to accept transfer of the above amount for deposit to the Investor's Individual Retirement Custodial Account, and requests the liquidation and transfer of assets as indicated above.

X

By

Date



RETAIN A PHOTOCOPY OF THE COMPLETED FORM FOR YOUR RECORDS

